

India - Household Consumer Expenditure 2011-2012 - 68th Round Sch1.0 Type 1, July-June

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Overview

Identification

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IND_2011_HIES_68Rnd_Sch1.0_V1.0_M_NSSO

Version

VERSION DESCRIPTION

V1.0, Reorganised anonymised data set for public distribution

PRODUCTION DATE

2013-08-13

Overview

ABSTRACT

Household consumer expenditure (HCE) is expenditure incurred by households on consumption of goods and services. Household consumer expenditure (HCE) during a specified period, called the reference period, may be defined as the following:

- (a) expenditure incurred by households on 'consumption goods and services' during the reference period
- (b) imputed value of goods and services produced as outputs of household (proprietary or partnership) enterprises owned by households and used by their members themselves during the reference period
- (c) imputed value of goods and services received by households as remuneration in kind during the reference period
- (d) imputed value of goods and services received by households through social transfers in kind received from government units or non-profit institutions serving households (NPISHs) and used by households during the reference period.

The survey will cover the whole of the Indian Union except (i) interior villages of Nagaland situated beyond five kilometres of the bus route and (ii) villages in Andaman and Nicobar Islands which remain inaccessible throughout the year.

Period of survey and work programme: The period of survey will be of one year duration starting on 1st July 2011 and ending on 30th June 2012. The survey period of this round will be divided into four sub-rounds of three months' duration each as follows:

sub-round 1 : July - September 2011

sub-round 2 : October - December 2011

sub-round 3 : January - March 2012

sub-round 4 : April - June 2012

Objective of the consumer expenditure survey (CES): Firstly, as an indicator of level of living, monthly per capita expenditure (MPCE) is both simple and universally applicable. Average MPCE of any sub-population of the country (any region or population group) is a single number that summarises the level of living of that population. Apart from these major uses of the CES, the food (quantity) consumption data are used to study the level of nutrition of different regions, and disparities therein. Further, the budget shares of a commodity at different MPCE levels are used by economists and market researchers to determine the elasticity (responsiveness) of demand to income increases.

KIND OF DATA

Sample survey data [ssd]

UNITS OF ANALYSIS

Randomly selected households based on sampling procedure and members of the household.

Scope

NOTES

Consumer Expenditure should provide information on the below subject areas :

- Expenditure on consumption goods and services
- Imputed value of self-consumed produce of own farm or other hh enterprise
- Any household expenses reimbursed by employer (medical, electricity, LTC, etc.)
- Cost of minor repairs of assets & durable goods
- All compulsory payments to schools and colleges including so-called "donations"
- Goods and services received as payment in kind or received free from employer (incl. imputed rent of quarters)
- Payments for medical care reimbursed or directly paid by insurance company
- Second-hand purchases of clothing, footwear, books, durables

In 68th round sch 1.0 the survey collects information of the following areas:

Block 1 : Identification of sample household.

Block 2 : Particulars of field operations.

Block 3 : Household characteristics.

Block 4 : Demographic and other particulars of household members.

Block 5.1 : Consumption of cereals, pulses, milk and milk products, sugar and salt.

Block 5.2 : Consumption of edible oil, egg, fish and meat, vegetables, fruits, spices, beverages and processed food and pan, tobacco and intoxicants.

Block 6 : Consumption of energy (fuel, light & household appliances).

Block 7 : Consumption of clothing, bedding, etc.

Block 8 : Consumption of footwear.

Block 9 : Expenditure on education and medical (institutional) goods and services.

Block 10 : Expenditure on miscellaneous goods and services including medical (non-institutional), rents and taxes.

Block 11 : Expenditure for purchase and construction (including repair and maintenance) of durable goods for domestic use.

Block 12 : Summary of consumer expenditure.

Block 13 : Information on Ayurveda, Yoga, Naturopathy, Unani, Siddha, Homoeopathy (AYUSH).

Block 14 : Remarks by investigator/ Asstt. Superintending Officer.

Block 15 : Comments by supervisory officer(s)

TOPICS

Topic	Vocabulary	URI
Identification of sample household	Identification of State, District, Tahsil, Village, Head of Household, etc	
Household Characteristics	Characteristics of HH like-Household size, NCO, NIC, Religion, Social, Group, MPCE, etc	
Demographic and other particulars of household members	Contain members detail of household	
Consumption of food, pan, tobacco and intoxicants during the last 30 days	Consumption pattern of food and other items in 30 days	
Consumption of energy (fuel, light and household appliances).	Consumption of energy for cooking, lighting and other household purposes during the last 30 days.	
Consumption of clothing, bedding and footwear for the two reference periods i.e. during the last 30 days and 365 days.	Consumption of clothing, bedding, etc. during the last 365 days	
Expenditure on education and medical (institutional) goods and services for the two reference periods i.e. during the last 30 days and 365 days.	Expenditure on education and medical (institutional) goods and services for the two reference periods i.e. during the last 30 days and 365 days.	
Expenditure on miscellaneous goods and services including medical (non-institutional), rents and taxes during the last 30 days	Expenditure on miscellaneous goods and services including medical (non-institutional), rents and taxes during the last 30 days	
Expenditure for purchase and construction (including repair and maintenance) of durable goods for domestic use for the two reference periods i.e. during the last 30 days and 365 days.	Expenditure for purchase and construction (including repair and maintenance) of durable goods for domestic use for the two reference periods i.e. during the last 30 days and 365 days.	
Expenditure on miscellaneous goods and services including medical (non-institutional), rents and taxes during the last 30 days	expenditure for purchase of miscellaneous goods and services during the last 30 days	
Expenditure for purchase and construction (including repair and maintenance) of durable goods for domestic use	Information on expenditure incurred for purchase and cost of raw materials and services for construction and repairs of durable goods for domestic use	
Information on Ayurveda, Yoga, Naturopathy, Unani, Siddha, Homoeopathy (AYUSH)	Information on the use of the AYUSH systems of medicine.	

KEYWORDS

Consumer Expenditure, Schedule 1.0, NSSO Round 68

Coverage**GEOGRAPHIC COVERAGE**

The survey will cover the whole of the Indian Union except (i) interior villages of Nagaland situated beyond five kilometres of the bus route and (ii) villages in Andaman and Nicobar Islands which remain inaccessible throughout the year.

UNIVERSE

The survey used the interview method of data collection from a sample of randomly selected households and members of the household.

Producers and Sponsors**PRIMARY INVESTIGATOR(S)**

Name	Affiliation

Name	Affiliation
National Sample Survey Office,NSSO	Ministry of Statistics & Programme Implementation, Government of India

OTHER PRODUCER(S)

Name	Affiliation	Role
Survey Design Research Division	National Sample Survey Office	Questionnaire design, sampling methodology, survey reports, questionnaire design, sampling methodology, survey reports
Field Operation Division	National Sample Survey Office	Field work
Data Processing Division	National Sample Survey Office	Data Processing
Computer Centre	Ministry of Statistics and Programme Implementation(GOI)	Data Processing

FUNDING

Name	Abbreviation	Role
Ministry of Statistics & Programme Implementation, Government of India	MOSPI	

OTHER ACKNOWLEDGEMENTS

Name	Affiliation	Role
Governing Council and working Group	GOI	Finalisation of Survey study

Metadata Production

METADATA PRODUCED BY

Name	Abbreviation	Affiliation	Role
Computer Centre	MOSPI,CC	Ministry of Statistics & P.I	Documentation of Study

DATE OF METADATA PRODUCTION

2013-08-13

DDI DOCUMENT VERSION

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DDI DOCUMENT ID

DDI_IND_2011_HIES_68Rnd_Sch1.0_V1.0_M_NSSO

Sampling

Sampling Procedure

Sample Design

Outline of sample design: A stratified multi-stage design has been adopted for the 68th round survey. The first stage units (FSU) are the 2001 census villages (Panchayat wards in case of Kerala) in the rural sector and Urban Frame Survey (UFS) blocks in the urban sector. The ultimate stage units (USU) are households in both the sectors. In case of large FSUs, one intermediate stage of sampling is the selection of two hamlet-groups (hgs)/ sub-blocks (sbs) from each rural/ urban FSU.

Sampling Frame for First Stage Units: For the rural sector, the list of 2001 census villages (henceforth the term 'village' would include also Panchayat wards for Kerala) constitutes the sampling frame. For the urban sector, the list of UFS blocks (2007-12) is considered as the sampling frame.

Stratification: Within each district of a State/ UT, generally speaking, two basic strata have been formed: i) rural stratum comprising of all rural areas of the district and (ii) urban stratum comprising of all the urban areas of the district. However, within the urban areas of a district, if there are one or more towns with population 10 lakhs or more as per population census 2001 in a district, each of them forms a separate basic stratum and the remaining urban areas of the district are considered as another basic stratum.

Sub-stratification:

Rural sector r: If 'r' be the sample size allocated for a rural stratum, the number of sub-strata formed would be 'r/4'. The villages within a district as per frame were first arranged in ascending order of population. Then sub-strata 1 to 'r/4' have been demarcated in such a way that each sub-stratum comprised a group of villages of the arranged frame and have more or less equal population.

Urban sector: If 'u' be the sample size for an urban stratum, 'u/4' number of sub-strata have been formed. In case u/4 is more than 1, implying formation of 2 or more sub-strata, this is done by first arranging the towns in ascending order of total number of households in the town as per UFS phase 2007-12 and then arranging the IV units of each town and blocks within each IV unit in ascending order of their numbers. From this arranged frame of UFS blocks of all the towns/million plus city of a stratum, 'u/4' number of sub-strata formed in such a way that each sub-stratum has more or less equal number of households as per UFS 2007-12.

Total sample size (FSUs): 12784 FSUs have been allocated for the central sample at all-India level and 14772 FSUs have been allocated for state sample. Statewise allocation of sample FSUs has been given in Appendix Table-1.

Allocation of total sample to States and UTs: The total number of sample FSUs has allocated to the States and UTs in proportion to population as per census 2001 subject to a minimum sample allocation to each State/ UT. While doing so, the resource availability in terms of number of field investigators has been kept in view.

Allocation of State/ UT level sample to rural and urban sectors: State/ UT level sample size has been allocated between two sectors in proportion to population as per census 2001 with double weightage to urban sector. However, if such weighted allocation resulted in too high sample size for the urban sector, the allocation for bigger states like Maharashtra, Tamil Nadu, etc. was restricted to that of the rural sector. A minimum of 16 FSUs (minimum 8 each for rural and urban sector separately) is allocated to each state/ UT.

Allocation to strata/ sub-strata: Within each sector of a State/ UT, the respective sample size has been allocated to the different strata/ sub-strata in proportion to the population as per census 2001. Allocations at stratum level are adjusted to multiples of 4 with a minimum sample size of 4. Allocation for each sub-stratum is 4. Equal number of samples has been allocated among the four sub-rounds.

Selection of FSUs:

For the rural sector, from each stratum/ sub-stratum, required number of sample villages has been selected by probability proportional to size with replacement (PPSWR), size being the population of the village as per Census 2001.

For the urban sector, UFS 2007-12 phase has been used for all towns and cities and FSUs have been selected from each stratum/sub-stratum by using Simple Random Sampling Without Replacement (SRSWOR).

Both rural and urban samples are to be drawn in the form of two independent sub-samples and equal number of samples have been allocated among the four sub rounds.

Selection of hamlet-groups/ sub-blocks - important steps

Criterion for hamlet-group/ sub-block formation: After identification of the boundaries of the FSU, it is first determined whether listing is to be done in the whole sample FSU or not. In case the population of the selected FSU is found to be 1200 or more, it has to be divided into a suitable number (say, D) of 'hamlet-groups' in the rural sector and 'sub-blocks' in the urban sector by more or less equalising the population as stated below.

approximate present population of the sample FSU no. of hg's/sb's to be formed

less than 1200 (no hamlet-groups/sub-blocks) 1
 1200 to 1799 3
 1800 to 2399 4
 2400 to 2999 5
 3000 to 3599 6
and so on

For rural areas of Himachal Pradesh, Sikkim, Uttarakhand (except four districts Dehradun (P), Nainital (P), Hardwar and Udham Singh Nagar), Poonch, Rajouri, Udhampur, Doda, Leh (Ladakh), Kargil districts of Jammu and Kashmir and Idukki district of Kerala, the number of hamlet-groups are formed as follows:

approximate present population of the sample village no. of hg's to be formed

less than 600 (no hamlet-groups) 1
 600 to 899 3
 900 to 1199 4
 1200 to 1499 5
and so on

Formation and selection of hamlet-groups/ sub-blocks: In case hamlet-groups/ sub-blocks are formed in the sample FSU, the same is done by more or less equalizing population.

Two hamlet-groups (hg)/ sub-blocks (sb) are selected from a large FSU wherever hamlet-groups/ sub-blocks have been formed in the following manner - one hg/ sb with maximum percentage share of population is always selected and termed as hg/ sb 1; one more hg/ sb is selected from the remaining hg's/ sb's by simple random sampling (SRS) and termed as hg/ sb 2. Listing and selection of the households is done independently in the two selected hamlet-groups/ sub-blocks. The FSUs without hg/ sb formation are treated as sample hg/ sb number 1. It is to be noted that if more than one hg/ sb have same maximum percentage share of population, the one among them which is listed first in block 4.2 of schedule 0.0 is treated as hg/ sb 1.

Selection of households: From each SSS the sample households for each of the schedules are selected by SRSWOR. If a household is selected for more than one schedule, only one schedule is canvassed in that household in the priority order of Schedule 1.0 (Type 1), Schedule 1.0 (Type 2) and Schedule 10 and in that case the household would be replaced for the other schedule. If a household is selected for Schedule 1.0 (Type 1) it is not selected for Schedule 1.0 (Type 2) or Schedule 10. Similarly, if a household is not selected for Schedule 1.0 (Type 1) but selected for Schedule 1.0 (Type 2) it is not selected for Schedule 10.

Deviations from Sample Design

There was no deviation from the original sampling design.

Questionnaires

Overview

Sch 1.0 of the 68th round consists of the following blocks :

Block 1 : Identification of sample household.

Block 2 : Particulars of field operations.

Block 3 : Household characteristics.

Block 4 : Demographic and other particulars of household members.

Block 5.1 : Consumption of cereals, pulses, milk and milk products, sugar and salt.

Block 5.2 : Consumption of edible oil, egg, fish and meat, vegetables, fruits, spices, beverages and processed food and pan, tobacco and intoxicants.

Block 6 : Consumption of energy (fuel, light & household appliances).

Block 7 : Consumption of clothing, bedding, etc.

Block 8 : Consumption of footwear.

Block 9 : Expenditure on education and medical (institutional) goods and services.

Block 10 : Expenditure on miscellaneous goods and services including medical (non-institutional), rents and taxes.

Block 11 : Expenditure for purchase and construction (including repair and maintenance) of durable goods for domestic use.

Block 12 : Summary of consumer expenditure.

Block 13 : Information on Ayurveda, Yoga, Naturopathy, Unani, Siddha, Homoeopathy (AYUSH).

Block 14 : Remarks by investigator/ Asstt. Superintending Officer.

Block 15 : Comments by supervisory officer(s)

Data Collection

Data Collection Dates

Start	End	Cycle
2011-07-01	2011-09-30	Subround 1
2011-10-01	2011-12-31	Subround 2
2012-01-01	2012-03-31	Subround 3
2012-04-01	2012-06-30	Subround 4

Time Periods

Start	End	Cycle
2011-07-01	2012-06-30	N/A

Data Collection Mode

Face-to-face [f2f]

Questionnaires

Sch 1.0 of the 68th round consists of the following blocks :

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Data Collectors

Name	Abbreviation	Affiliation
Field Operations Division	FOD	Ministry of Statistics and Programme Implementation

Supervision

National Sample Survey Office

Data Processing

No content available

Data Appraisal

No content available

Related Materials

Questionnaires

Questionnaire

Title Questionnaire
Date 2011-01-01
Country India
Language English
Filename Schedule_68_1.0_type1.pdf

Reports

Key Indicators

Title Key Indicators
Date 2011-01-01
Country India
Language English

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	Break-up of monthly per capita consumer expenditure (URP/MRP/MMRP) over broad categories of goods and services by sector and fractile class of MPCE (all-India)
Filename	KI-68th-HCE.pdf

Technical documents

concepts design and definitions

Title concepts design and definitions
Date 2011-01-01
Country India
Language English

Filename concept-design and definitions.pdf

Sample Design and Estimation Procedure

Title Sample Design and Estimation Procedure
 Date 2011-01-01
 Country India
 Language English
 Filename Estimation Procedure_68.pdf

Text Data Layout

Title Text Data Layout
 Date 2011-01-01
 Country India
 Language English
 Filename layout68_1_0_typ1.pdf

National Industrial classification 2008

Title National Industrial classification 2008
 Date 2011-01-01
 Country India
 Language English
 Filename National Industrial classification 2008.zip

Appendix_I_LIST OF FOD SUB_REGIONS

Title Appendix_I_LIST OF FOD SUB_REGIONS
 Date 2011-01-01
 Country India
 Language English
 Filename Appendix_I_68.pdf

Appendix_II_LIST OF NSS REGIONS AND THEIR COMPOSITION

Title Appendix_II_LIST OF NSS REGIONS AND THEIR COMPOSITION
 Date 2011-01-01
 Country India
 Language English
 Filename Appendix-II_68.pdf

IHSN Report - 68Rnd-Sch1.0

Title IHSN Report - 68Rnd-Sch1.0
 Country India
 Language English
 Filename IHSN Report - 68 Round - sch 1.0.pdf

District Codes

Title District Codes

Country India
Language English
Filename District-codes.pdf

Instructions to field staff

Title Instructions to field staff
Country India
Language English
Filename Instrn. to field staff_68_1.0.zip

NCO_2004_Code_Structure

Title NCO_2004_Code_Structure
Country India
Language English
Filename nco_2004_CodeStructure.pdf

State_Code

Title State_Code
Country India
Language English
Filename State code_68.pdf

Multipliers_68_1.0

Title Multipliers_68_1.0
Country India
Language English
Filename MLT6801.TXT
