

People Security Survey III CHINA

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Questionnaire Identification No: (no)

QUESTIONNAIRE FOR HOUSEHOLD SURVEY IN CHINA

OCTOBER, 2001

**Infocus Programme on Socio-Economic
Security
International Labour Office, Geneva**

**Chinese Academy of Labor and Social
Security
(Ministry of Labor) Beijing**

SAMPLING INFORMATION

INFORMATION		CODE
SC01. Prefecture / City	(city) 1.Hangzhou,2.Zhengzhou,3.Lanzhou	[] *
SC02. County / District		[] *
SC03. District / Town / Village:	_____	[] *
SC04. Name of Editor:	_____	
SC05. Name of Interviewer:	_____	
SC06. Name of Respondent:	_____	
SC07. Household Number:		[] *
SC08. Household Address:	_____	

HOUSEHOLD ROSTER

HS01 No. of HHM	HS02 Name of Adult or Child	HS03 Relation ship to Respondent	HS04 Sex	HS05 Age: Years Completed	HS06 Marital status	HS07 Highest level of schooling completed	HS08 Current School Attendance Status	HS09 Does this person have a disability, chronic or serious illness, or need assistance everyday	HS10 Main Activity Status in the previous week	HS11 Activity on which HHM spent second most time in the previous week	HS12 Main Activity Status in the last 12 months	HS13 Activity on which HHM spent second most time in the last 12 months
01. (hs01_1)	-----	[] (hs03_1)	1 3 (hs04_1)	[] (hs05_1)	1 2 3 4 5 6 (hs06_1)	01 02 03 04 05 06 07(hs07_1)	1 2 3 (hs08_1)	1 2 3(hs09_1)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_1)	08 09 10 11 12 13 14 (hs11_1)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_1)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_1)
02. (hs01_2)	-----	[] (hs03_2)	1 3 (hs04_2)	[] (hs05_2)	1 2 3 4 5 6 (hs06_2)	01 02 03 04 05 06 07(hs07_2)	1 2 3 (hs08_2)	1 2 3(hs09_2)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_2)	08 09 10 11 12 13 14 (hs11_2)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_2)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_2)
03. (hs01_3)	-----	[] (hs03_3)	1 3 (hs04_3)	[] (hs05_3)	1 2 3 4 5 6 (hs06_3)	01 02 03 04 05 06 07(hs07_3)	1 2 3 (hs08_3)	1 2 3(hs09_3)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_3)	08 09 10 11 12 13 14 (hs11_3)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_3)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_3)
04 (hs01_4)	-----	[] (hs03_4)	1 3 (hs04_4)	[] (hs05_4)	1 2 3 4 5 6 (hs06_4)	01 02 03 04 05 06 07(hs07_4)	1 2 3 (hs08_4)	1 2 3(hs09_4)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_4)	08 09 10 11 12 13 14 (hs11_4)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_4)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_4)
05 (hs01_5)	-----	[] (hs03_5)	1 3 (hs04_5)	[] (hs05_5)	1 2 3 4 5 6 (hs06_5)	01 02 03 04 05 06 07(hs07_5)	1 2 3 (hs08_5)	1 2 3(hs09_5)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_5)	08 09 10 11 12 13 14 (hs11_5)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_5)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_5)
06. (hs01_6)	-----	[] (hs03_6)	1 3 (hs04_6)	[] (hs05_6)	1 2 3 4 5 6 (hs06_6)	01 02 03 04 05 06 07(hs07_6)	1 2 3 (hs08_6)	1 2 3(hs09_6)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_6)	08 09 10 11 12 13 14 (hs11_6)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_6)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_6)
07. (hs01_7)	-----	[] (hs03_7)	1 3 (hs04_7)	[] (hs05_7)	1 2 3 4 5 6 (hs06_7)	01 02 03 04 05 06 07(hs07_7)	1 2 3 (hs08_7)	1 2 3(hs09_7)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_7)	08 09 10 11 12 13 14 (hs11_7)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_7)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_7)
08 (hs01_8)	-----	[] (hs03_8)	1 3 (hs04_8)	[] (hs05_8)	1 2 3 4 5 6 (hs06_8)	01 02 03 04 05 06 07(hs07_8)	1 2 3 (hs08_8)	1 2 3(hs09_8)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs10_8)	08 09 10 11 12 13 14 (hs11_8)	01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs12_8)	07 01 02 03 04 05 06 07 08 09 10 11 12 13 14 (hs13_8)

HS14. Of the various economic activities undertaken by this household in the previous week and the past 12 months, which is the most important and the second most important in terms of income? Use codes from table below.

	(i) Previous week	(ii) Past 12 months
a.	(hs14a_i)	(hs14a_ii)
b.	(hs14b_i)	(hs14b_ii)

Table of codes for household roster

	HS06	HS07	HS10, HS11, HS12, HS13 and HS14
HS03 01 Respondent 02. Husband/wife/co habitee 03. Children 04 Children in law 05. Grandchild 06. Parent/ parent in law 07. Brother/sister 08. Brother /sister in law 09. Servants 10. Other relatives 11. Non-Relatives	1. Single 2. Living with partner, single 3. Married, living with spouse 4. Married, not living with spouse 5. Divorced or separated 6. Widowed	01. No school 02. Primary School (not completed) 03 Primary school 04. Lower secondary 05. Higher secondary 06. Vocational/technical 07. University HS08 01. Attends distance school/university 02. Attends full-time 03. Attends evening school	01. Wage or Salary employee (daily/weekly/ monthly in establishment with >7 workers) 02. Employee in small business (\leq 7 workers) 03. Employer – small business (\leq 7 workers) 04. Employer – business with > 7 workers 05. Self-employed (non-cultivator) with no paid employees 06. Unpaid family worker 07. Cultivator (<i>nong ye</i>) /animal husbandry/fishing 08 House worker (housewife) 09 Disabled/ III/ 10. Student/child 11. Retired 12. Unemployed, seeking work 13. Laid-off (<i>xiagang</i>) 14. Other
HS04 1. Male 3. Female		HS09 Choose the first code applicable 1. Disabled 2. Illness - Chronic / serious 3. Elderly above 60 years	

The China People's Security Survey

PART - I

BASIC SECURITY

- A1. What is the total area of this dwelling (including balconies)?
_____ sq. meters (a1)
- A2. (a) Do you live in an old house (*ping fang*) or a new building? (Old houses usually have very small living areas, very dark with no toilet) (a2a)
1 – Old house 2 – New building
- (b) Is the area / neighborhood in which you live clean, so so or not very clean?
1 – Clean 2 – So so 3 – Not very clean (a2b)
- A3. Is your housing more than adequate, just adequate (so so), or inadequate with respect to:
- (a) Space: _____ (a3a)
(b) Quality of construction _____ (a3b)
(c) Ventilation _____ (a3c)
(d) Proximity to place of work _____ (a3d)
(e) Comfort (cold or damp or hot) _____ (a3e)
(f) Water supply _____ (a3f)
(g) Toilet/s _____ (a3g)
- Codes: 1 – More adequate 2 – Just adequate (So so) 3 – Inadequate
- A4. Is the income of your household more than sufficient, just enough or insufficient for your basic needs for the following:
- (a) Food (a4a) 1. Sufficient 2. Just Enough 3. Insufficient
(b) Housing (a4b) 1. Sufficient 2. Just Enough 3. Insufficient
(c) Clothing (a4ac) 1. Sufficient 2. Just Enough 3. Insufficient
(d) Health care (a4d) 1. Sufficient 2. Just Enough 3. Insufficient
- A5. How much rent/ fees do you pay per month for the house/apartment/dwelling place? (a5)
1. Rmb _____ per month; (a5_1) 2. No rent, because I already bought it. If so, how much Rmb _____ did you pay for it? (a5_2)

A6. If you or members of your household used medical / health care services, how would you describe the availability, cost and quality of such services?

(a) Is it or is not easily available or not available at all? (a6a)

1 – Easily available 2 – Not easily available
3 – Not available 4 – Don't know

(b) Is the service very expensive, moderately expensive, reasonably expensive or inexpensive? (a6b_i)

1 – Very expensive 2 – Moderately expensive
3 – Reasonable cost 4 – Inexpensive

(c) Is the service of high, moderate or poor quality? (a6b_ii)

1 – High quality of service 2 – Moderate quality of service
3 – Poor quality of service

A7. In the past 12 months, in order to pay for health or medical care, did you raise money through any of the following sources? Please answer yes or no.

(a) Took a private loan(a7a)	1 – Yes	2 – No
(b) Sold assets (a7b)	1 – Yes	2 – No
(c) Withdrew savings (a7c)	1 – Yes	2 – No
(d) Received government aid(a7d)	1 – Yes	2 – No
(e) Took a government loan (a7e)	1 – Yes	2 – No
(f) Took a loan from employer(a7f)	1 – Yes	2 – No
(g) Borrowed from friends/relatives(a7g)	1 – Yes	2 – No
(h) Seldom go to hospital in case of ill (a7h)	1 – Yes	2 – No
(i) I was in good condition these months(a7i)	1 – Yes	2 – No

A8. When you think of your old age, how do you feel about your likely health care situation? Do you feel it is likely to be good, adequate or inadequate?(a8)

1 – Good 2 – Adequate 3 – Inadequate; not good

A9. In your opinion, in the past three years, have health care services improved, stayed the same or deteriorated? (a9)

1 – Improved 2 – Same 3 – Deteriorated 4 – Don't know

A10. In your opinion, in the past three years, has the quality of schooling in the area improved, stayed the same or deteriorated? (a10)

1 – Improved 2 – Same 3 – Deteriorated 4 – Don't know

A11. How long have you lived here? (a11)

_____ Years(a11_year) _____ Months(a11_mont)

A12. Have you lived here for most of your life? (a12)

1 – Yes [SKIP TO A16] 2 – No

A13. If no, did you grow up in a large city, a small city / town or a rural area? (a13)
1 – A large city 2 – A small city/town 3 – A rural area (village)

A14. Do you have a temporary / permanent hukou or a temporary living card? (a14)
1 – Hukou issued by other cities 2 – Temporary hukou
3 – Temporary living card 4 – Permanent hukou

A15. (a) Approximately how much money, if any, did you send to relatives living elsewhere in the past three months? (a15a)

1. ≤ 499 yuan 2. 500–999 yuan 3. 1000–1999 yuan
4. 2000–4999 yuan 5. ≥ 5000 yuan

(b) Approximately how much money, if any, did you receive from relatives living elsewhere in the past three months? (a15b)

1. ≤ 499 yuan 2. 500–999 yuan 3. 1000–1999 yuan
4. 2000–4999 yuan 5. ≥ 5000 yuan

A16. (a) Do you or your household cultivate any land or a vegetable garden? (a16a)
1. Yes 2. No [If no, SKIP TO A19]

(b) How large a plot of land is it? _____ mu (a16b)

(c) How much of it is irrigated? _____ mu (a16c)

(d) Does your household mostly self-consume or mostly sell what it grows? (a16d)

1 - Consume output mostly 2 - Sell output mostly
3 - Sell and consume about equally

ASK A17 AND A18 IF RESPONDENT IS ENGAGED IN FARMING, OTHERWISE SKIP TO A19

A17. (a) Do any household members regularly or occasionally assist in your farming work? (a17a)

1 – Yes, regularly 2 – Yes, from time to time
3 – Occasionally 4 – Never

(b) Do you regularly or occasionally employ paid labour for your farming work? (a17b)

1 - Yes, regularly 2 – Yes, Only during peak season
3 – Occasionally 4 - Never

(c) Do you have access to loans at acceptable rates for farming needs? (a17c)

1 - Yes 2 - No

(d) Do you use any kind of power driven machinery? (a17d)

1- Yes 2- No [If no, SKIP to A17(f)]

(e) If yes, do you own it, rent it from others or rent it from a collective institution? (a17e)

- 1 – Own it 2. Rent it from others
3 – Rent it from the local collective

(f) Did you have to sell part of the output of your farm compulsorily to the state in the last 3 years? (a17f)

- 1 – Yes 2 – No

A18. (a) Has the income from your household's farming activity increased, remained the same or decreased in the past three years? (a18a)

- 1 – Earnings have increased 2 – Remained the same [SKIP to A19]
3 – decreased

(b) Of the following to which three factors do you attribute increase or decrease in income? [READ OUT THE OPTIONS AND ASK FOR THE THREE MAIN FACTORS]

- 1 – Weather (a18b_1) 2 – Market conditions for inputs(a18b_2)
3 – Irrigation(a18b_3) 4 - Market conditions for produce(a18b_4)
5 – Land(a18b_5) 6 – Government policy or support (a18b_6)
7 – Machinery (either became obsolete or improved – to infer technological factors) (a18b_7)

A19. (a) In the past 12 months did you participate in any public-works programmes organized by the government? (a19a)

- 1 – Yes 2 – No [SKIP TOA20]

(b) If yes, how many days did you work as part of the programme?
_____ days. (a19b)

(c) Which of the following projects were you mobilized for?

- | | | |
|--|---------|--------|
| (i) Afforestation (a19c_i) | 1 – Yes | 2 - No |
| (ii) Irrigation infrastructure construction/repair (a19c_ii) | 1 – Yes | 2 - No |
| (iii) Road building(a19c_iii) | 1 – Yes | 2 - No |
| (iv) Land improvement(a19c_iv) | 1 – Yes | 2 - No |
| (v) Other construction work(a19c_v) | 1 – Yes | 2 - No |
| (vi) Community service(a19c_vi) | 1 – Yes | 2 - No |
| (vii) Any other (a19c_vii) | 1 – Yes | 2 - No |

(d) Were you compensated for your labour contribution in cash, in kind, or in the form of tax rebates/concessions? (a19d)

- 1 – Wages in cash 2 – Wages in kind
3 – Both cash and kind 4 – To cover agricultural tax payable
5 – Tax rebates/concessions 6 – Any other
7 – No

A20. (a) In the past 12 months, did you or anyone else in your household have a business where goods were produced for sale; or services were sold; or goods were sold?

- (i) Produced goods for sale (a20a_i) 1 - Yes 2 - No
(ii) Bought and sold goods (a20a_ii) 1 - Yes 2 - No
(iii) Sold services (a20a_iii) 1 - Yes 2 - No
(iv) None (a20a_iv) 1 - Yes [If none, SKIP TO A21]

(b) Do you have a license for conducting business? (a20b)

- 1 - Yes 2 - No 3 - Not necessary

(c) Did any non-household members work in this business in the past 12 months? (a20c)

- 1 - Yes 2 - No [SKIP TO A20(e)]

(d) How many? _____ (a20d)

(e) Is the working place of this business, your home, some other fixed location, the street or without any fixed location? (a20e)

- 1 - Fixed location, building 2 - Fixed location, street
3 - Home 4 - Not fixed location

(f) In 12 months from now, do you expect this business to be larger, smaller or about the same size as it is now? (a20f)

- 1 - Larger 2 - Smaller 3 - About same size

(g) Do you worry a little, a lot, or not at all about the irregularity of business income? (a20g)

- 1 - Worry a lot 2 - Worry a little 3 - Do not worry

(h) In the past 12 months, have you ever made any type of payment to government officials or other persons to smooth your business? (a20h)

- 1 - Yes 2 - No

A21. Does anyone in your household have plans to start a business within the next 12 months? (a21)

- 1 - Yes 2 - No

PART - II

(1) LABOUR MARKET SECURITY

- 1.1 Which of the following activities did you do**
(a) in the previous week and
(b) in the last 12 months

[ALLOW MULTIPLE ANSWERS]

	Activities	Previous week	Last 12 months
01	<i>Working for pay, profit, or family gain</i>	(b1a01_i)	(b1a01_ii)
02	<i>Unemployed, seeking work</i>	(b1a02_i)	(b1a02_ii)
03	<i>Doing housework, childcare, caring for relatives, etc.</i>	(b1a03_i)	(b1a03_ii)
04	<i>Studying (School, University)</i>	(b1a04_i)	(b1a04_ii)
05	<i>Training for work</i>	(b1a05_i)	(b1a05_ii)
06	<i>Laid off (XIAGANG)</i>	(b1a06_i)	(b1a06_ii)
07	<i>Other</i>	(b1a07_i)	(b1a07_ii)

(c) In the previous week, which single activity (select from above) was most important in terms of time spent? (b1c)

(d) In the last 12 months, which single activity (select from above) was most important in terms of time spent? (b1d)

IF WORKING FOR PAY, PROFIT OR FAMILY GAIN OR LAID-OFF ANSWER 1.2 (a), (b), (c) and (d), OTHERWISE SKIP TO 1.3

- 1.2 For the most important work activity in terms of time spent, please provide the following details. Use codes given below**

		(i) Previous week	(ii) Last 12 months
a	Sector (Industry)	(b2a_i)	(b2a_ii)
b	Type of ownership	(b2b_i)	(b2b_ii)
c	Type of work*	(b2c_i)	(b2c_ii)
d	Work status	(b2d_i)	(b2d_ii)

Codes:

(a) Sector (industry):

1 – Agriculture/forestry/animal rearing fishery

2– Mining

3 - Manufacturing

4– Electricity, gas and water supply

5 - Construction

- 6–Geological prospecting and water conservancy administration
- 7 - Transportations, storage, post and telecommunications
- 8– Whole and retail trade, and catering service
- 9 – Financial and insurance
- 10– Real estate
- 11 - Social services
- 12– Health care, sports and social welfare
- 13 –Education, culture, arts and broadcasting
- 14– Television and cinema industry
- 15 – Scientific research and comprehensive technical services
- 16– State organs and public institutions
- 17 - Others

(b) Type of ownership:

- 1 – State owned
- 2 – Collective Enterprise
- 3 – Private Enterprise
- 4 – Stock corporation
- 5 - Foreign joint venture or fully foreign owned
- 6 –Township and Village industry (TVE)
- 7 – Self-employment Collective

*** DESCRIBE TYPE OF WORK BELOW IN DETAIL.**

[CODING FOR TYPE OF WORK SHOULD BE DONE IN THE OFFICE AND NOT DURING THE INTERVIEW]

(c) Type of work (Occupation)

Previous week _____

Last 12 months _____

- 01 - Professional, technical
- 02 - Administrative, managerial
- 03 - Skilled Cultivator (Agriculture, Fishery or Animal husbandry)
- 04 - Clerical
- 05 - Owner/entrepreneur
- 06 - Sales
- 07 - Factory production labourer
- 08 - Cleaning/Domestic Services
- 09 - Restaurant/Bar/Food Services
- 10 - Transport Services
- 11 - Construction labourer (includes brick and tile making)
- 12 - Agricultural labourer
- 13 - Other

(d) Work status: _____

1 – Regular wage employment

2 – Part-time wage employment (for example, 2 or 3 days a week)

3 – Temporary employment

4 – Self-employed

5 – Other

1.3 Besides your main work activity do you do any other work for pay, profit or family gain (second most important work activity)? (b3)

1 – Yes

2 – No [If no, SKIP TO 1.5]

1.4 For the second most important work activity in terms of time spent, please provide the following details. Use codes given below

		(i) Previous week	(ii) Last 12 months
a	Sector (Industry)	(b4a i)	(b4a ii)
b	Type of ownership	(b4b i)	(b4b ii)
c	Type of work*	(b4c i)	(b4c ii)
d	Work status	(b4d i)	(b4d ii)

Codes:

(a) Sector (industry): (The same as 1.2)

(b) Type of ownership: (The same as 1.2)

1.5 (a) In the previous week, at what time of day did you begin and end your work on most days on your main work/job? [MIDNIGHT SHOULD BE RECORDED AS 00.00 AND NOON AS 12.00]

Start: _____ hour(b5a_s1) _____ min(b5a_s2)

End: _____ hour(b5a_o1) _____ min(b5a_o2)

(b) Usually, how much time do you spend per day in travel to and from your main work in total? (b5b)

_____ hours (b5b_hour) _____ minutes(b5b_minu)

(c) Do you usually work a rotating shift in your main work – that is, one that changes periodically such as from days to evenings or to nights? (b5c)

1 – Yes

2 – No

(d) How many days in the previous week did you work (in your main work)?

_____ days(b5d)

(e) Did you work on Saturday and/or on Sunday in your main work last week? (b5e)

1 – Saturday only

2 – Sunday only

3 – Both Saturday and Sunday

4 – Neither

(f) In the previous week, did you mostly do your main work during the day, during evenings (7 PM to 10 PM), during nights (10 PM to 7 AM), or did you do this work on a rotating / shifting basis between days, evenings, and nights? (b5f)

1 – Days (from 7 AM to 7 PM)

2 - Evenings (7 PM to 10 PM)

3 – Nights (10 PM to 7 AM)

4 – Rotating / shifting

(g) How many hours in total did you work in your main work in the previous week? (b5g)

_____ hours per week

(h) How many hours in total did you work in your second main work in the previous week? (b5h)

_____ hours per week

(i) Usually, how many hours per day do you spend on domestic work, such as cleaning, childcare, shopping etc.? (b5i)

_____ hours per day

1.6 (a) Over the past 12 months, have you been unemployed/laid-off at any time, i.e., without income-earning work and looking for such work? (b6a)

1 – Yes, unemployed

2 – Yes, laid-off

2 – No [If no, SKIP TO 1.8]

(b) IF YES, for how long have you been unemployed/laid off in the past 12 months altogether? (b6b)

_____ months (b6b_mont) _____ weeks (b6b_week)

(c) How did you become unemployed / laid-off on the last occasion? (b6c)

1 – No previous job

2 – End of casual, short-term job

3 – Quit

4 – Dismissed/laid off by employer

5 – Other

(d) IF QUIT FOR PERSONAL FAMILY REASONS, what was the reason? (b6d)

1 – Pregnancy

2 - Marriage

3 – Health

4 – Need to care for other family member

5 – Request of spouse

6 – Other

1.7 (a) What is your main way of looking for a job? (b7a)

1 – Recommendations through friends and relatives

2 – Visit previous employers

3 – Information gathered from acquaintances and friends

4 – Register at the local employment service office

5 – Respond to calls for recruits in the local media (radio/newspapers/notice boards)

6 – Contractors/Employers visit and recruit

7 – Employee want meeting

8 – Organise a small business (group employment)

9 – Other

2.1 TO 2.6 ARE FOR THOSE WITH MAIN WORK AS EMPLOYED OR SELF-EMPLOYED

2.1 (a) Describe the type of place where you usually carry out your main work activity? (c1a)

1. Fixed location, building 2. Fixed location, street
3. Home 4. Not fixed location

(b) Number of persons working in establishment (profit calculating unit) of main work: (c1b)

- 1 – (1-7) 2 – (8 - 20) 3 – (21 - 50)
4 – (51 - 100) 5 – (101 - 500) 6 – (over 500)

2.2 (a) What type and duration of contract do you have in your main job? (c2a)

- 1 - Verbal; none 2 - Day by day; casual
3 - Temporary; less than 3 months 4 - Fixed term (3-11 months)
5 - Fixed term (12 months) 6 - Fixed term (12+ months)
7 - Permanent 8 - Stockholder
9 - Self-employed 10 - Others
9. Other

(b) Are workers at your present workplace against unfair dismissal? (c2b)

1. Yes all or most workers 2. Yes, some workers 3. No

(c) How much notice do you expect to receive if you are laid-off or dismissed?

- 1 -- _____ days (c2c_1) 2 – Do not know (c2c_2)

2.3 (a) For how long have you been in your current main employment or self-employment? (c3a)

__ years (c3a_year) __ months (c2a_mont)

(b) How much longer do you expect to stay in this employment or self-employment? (var0004)

- 1 - __ years (c3b_1y) __ months (c3b_1m) 2 – Don't know (c3b_2)

2.4 How secure or insecure do you feel about staying in your main employment or self-employment for the next 12 months if you want to? (c4)

- 1 – Secure 2 – Quite secure(so so)
3 – Uncertain 4 -- Insecure

[IF ANSWER IS 1 OR 2, SKIP TO 2.6]

2.5 What is the main reason for insecurity or uncertainty about your employment? (c5)

- 1 – Employment contract is temporary
2 – Employment for my present skills are becoming limited
3 – Intense competition in this activity

- 4 – Government policies are becoming increasingly unfavourable
- 5 – Other reasons

2.6 If a working woman (where you work) were to become pregnant, what is the likelihood of her losing the job? (c6)

- 1 – Very likely
- 2 – Unlikely
- 3 – Don't know

ASK 2.7 IF LAID OFF/DISMISSED BY EMPLOYER, OTHERWISE SKIP TO 3.1

2.7 (a) How much notice, if any, were you given? (c7a)

_____ weeks (c7a_week) _____ days (c7a_day)

(b) Did you receive your final salary? (c7b)

- 1 – Yes
- 2 – No

(c) Did you receive your severance pay? (c7c)

- 1 – Yes
- 2 – No
- 3 – Not applicable

(d) If no, do you foresee receiving it in the next 3 months? (c7d)

- 1 – Yes
- 2 – No

(3) JOB SECURITY

Q3.1 - 3.2 TO BE ADDRESSED TO THOSE DOING WORK FOR PAY, PROFIT OR FAMILY GAIN

3.1 In general, are you satisfied or dissatisfied or reasonably neutral with your current main income earning activity in terms of:

- (a) Wage level or income _____ (d1a)
- (b) Non-wage benefits and entitlements _____ (d1b)
- (c) Nature of work performed _____ (d1c)
- (d) Extent of autonomy/independence _____ (d1d)
- (e) Opportunity for improving skills _____ (d1e)
- (f) Opportunity for promotion _____ (d1f)

- Codes: 1 - Very satisfied
- 2 - Satisfied
- 3 - Neutral
- 4 - Dissatisfied
- 5 - Very dissatisfied

3.2 In the next three years, do you expect to advance in your main work in terms of:

- (a) Pay / income _____ (d2a)
- (b) Use of your skills _____ (d2b)

- Codes: 1 – Yes
- 2 – No
- 3 – Don't know

(4) SKILL REPRODUCTION SECURITY

4.1 (a) What training for work, if any, have you received or are receiving?

- | | | |
|--|----------------|---------------|
| (i) None (e1a_i) | <i>1 – Yes</i> | <i>2 - No</i> |
| (ii) Informal training on the job(e1a_ii) | <i>1 – Yes</i> | <i>2 - No</i> |
| (iii) Apprenticeship (e1a_iii) | <i>1 – Yes</i> | <i>2 - No</i> |
| (iv) Courses in school / training institution (e1a_iv) | <i>1 – Yes</i> | <i>2 - No</i> |
| (v) Training at headquarters / main factory(e1a_v) | <i>1 – Yes</i> | <i>2 - No</i> |
| (vi) Government retraining programme* (e1a_vi) | <i>1 – Yes</i> | <i>2 - No</i> |

* (vi) refers to programmes for unemployed or laid-off persons

IF UNEMPLOYED OR LAID OFF SKIP TO Q4.3

(b) Does your main work (for pay, income or family gain), enable you to use the skills or qualifications (education / training / experience) you have obtained?

(e1b)

- | | | |
|-----------------------|---------------------------|---------------------------|
| <i>1 – Yes</i> | <i>2 – No</i> | <i>3 – Not adequately</i> |
| <i>4 - Don't know</i> | <i>5 – Not applicable</i> | |

(c) In your opinion, are your qualifications and skills more than required, roughly adequate, or insufficient for your current main job? (e1c)

- 1 – More than required to perform work*
- 3. Adequate (roughly) for work requirements*
- 4. Insufficient for the work*

4.2 If needed, could you obtain training or retraining at your workplace or through your existing employment? (e2)

- | | | | |
|----------------|---------------|-----------------------|---------------------------|
| <i>1 – Yes</i> | <i>2 – No</i> | <i>3 – Don't Know</i> | <i>4 – Not applicable</i> |
|----------------|---------------|-----------------------|---------------------------|

4.3 (a) If you wished to change your employment or type of work (that you are currently or in the past were doing), would you need training to obtain the type of work you would wish to undertake? (e3a)

- | | |
|--|------------------------------------|
| <i>1 – Yes</i> | <i>2 – No [If no, SKIP TO 4.4]</i> |
| <i>3 – Don't Know [If don't know, SKIP TO 4.4]</i> | |

(b) Do you think you would be able to obtain that training? (e3b)

- | | |
|--------------------------|--------------------------|
| <i>1 – Yes, probably</i> | <i>2 – Yes, possibly</i> |
| <i>3 – Unlikely</i> | <i>4 – Very unlikely</i> |

[ASK 4.4 TO WOMEN ONLY]

4.4 Have you been refused training or an upgrading of your work skills because you are a woman? (e4)

- | | | |
|--|---------------|-----------------------|
| <i>1 – Yes</i> | <i>2 – No</i> | <i>3 – Don't Know</i> |
| <i>4 – Refused for some other reason</i> | | |

4.5 In the past 3 years were you downgraded or upgraded at any time in terms of occupational grade or skill, in the establishment in which you were working, for example by being shifted from one job to another? (e5)

1 - Downgraded 2 - Upgraded 3 - Both 4 - Neither

4.6 Do you know how to use a computer? (e6)

1 - Yes 2 - No

4.7 Do you own or have regular access to a computer, at work and/or at home? (e7)

1 - Yes, at home only 2 - Yes, at work only
3 - Yes, both 4 - No

5) WORK SECURITY

5.1 At your workplace, is there a department or a committee with worker members, responsible for health and safety in the workplace or in connection with your work? (f1)

1 - Department 2 - Committee 3 - Both department and committee
4 - Neither 5 - Don't know 6 - Not applicable

5.2 Can you choose the number of hours per day that you work in your main work? (f2)

1 - Yes 2 - No 3 - Don't know

5.3 (a) In the past 12 months, on average, how many days per week did you usually work overtime? (f3a)

_____ days per week

(b) Usually, when you work overtime, how many overtime (extra) hours per day did you work? (f3b)

_____ hours per day

(c) Do you usually work overtime by personal choice or by request (order) of your employer? (f3c)

1 - Personal choice (voluntary) 2 - Request / order of employer
3 - Not applicable

(d) Are you compensated for the overtime work at the usual rate, at a higher rate or not compensated? (f3d)

1 - Yes, at the usual wage rate
2 - Yes, at a higher wage rate
3 - No / not usually

5.4 (a) In general, how safe or unsafe do you regard the working environment in your main workplace? (f4a)

1 - Very safe 2 - Safe 3 - Neither safe, nor unsafe (so so)
4 - Unsafe 5 - Very unsafe

5.5 If your working conditions are hazardous, what are the hazards?

- (a) Chemical substances (f5a) _____
- (b) Unguarded or other dangerous machinery (f5b) _____
- (c) Ionizing radiation (x-radiation) (f5c) _____
- (d) Excessive heat or cold (f5d) _____
- (e) Excessive noise (f5e) _____
- (f) Excessive vibration (f5f) _____
- (g) Others (f5g) _____

Codes : 1 – Yes 2 – No 3 – Not applicable

5.6 Do you use any protective equipment or clothing? (f6)

1 – Yes 2 – No 3 – Don't know

5.7 In the past 12 months, have you been absent from work for one week or more due to:

- (a) Work related injury _____ (f7a)
- (b) Work related illness or stress _____ (f7b)

Codes : 1 – Yes 2 – No 3 – Not applicable

5.8 In the past 12 months, has anyone at your workplace experienced any injury connected with their employment? (f8)

1 – Yes 2 – No 3 – Don't know

5.9 In case of a work-related accident in your main work, who would pay for most of the costs of medical/injury treatment? (f9)

1 – Employer 2 – Social security fund 3 – Insurance
4 – Myself 5 – Others 6 – Don't know

5.10 INTERVIEWER SHOULD SAY : We are trying to find out whether women are as comfortable at work as men. USE CODES AT THE END

(a) Regarding experience of language that makes a woman feel uncomfortable:

ASK WOMEN ONLY:

- (i) Has this been a problem in your own experience, in the past 12 months? _____ (f10a_i)

ASK WOMEN AND MEN:

- (ii) Do you know of other workers for whom this has been a problem in the past 12 months? _____ (f10a_ii)

(b) Regarding experience of uncomfortable physical touching:

ASK WOMEN ONLY:

- (i) Has this been a problem in your own experience, in the past 12 months? _____ (f10b_i)

ASK WOMEN AND MEN:

- (ii) Do you know of other workers for whom this has been a problem in the past 12 months? _____ (f10b_ii)

(c) Regarding experience of demands for sexual favours :

ASK WOMEN ONLY:

- (i) Has this been a problem in your own experience, in the past 12 months? _____ (f10c_i)

ASK WOMEN AND MEN:

- (ii) Do you know of other workers for whom this has been a problem in the past 12 months? _____ (f10c_ii)

(d) Regarding experience of physical violence:

ASK WOMEN ONLY:

- (i) Has this been a problem in your own experience, in the past 12 months? _____ (f10d_i)

ASK WOMEN AND MEN:

- (ii) Do you know of other workers for whom this has been a problem in the past 12 months? _____ (f10d_ii)

Codes: 1 – Very serious problem 2 – Sometimes a problem 3 – Never a problem 4 – Don't know

5.11 Do you think there should be a policy on sexual harassment at the workplace? (f11)

1 – Yes 2 – No 3 – Don't know / No opinion

(6) INCOME SECURITY

6.1 (a) What is your average monthly money income for the past 12 months from all income earning activities including any bonus (and after deducting tax)? (g1a)

1 – less than 299 yuan	2- 300-499 yuan	3 – 500-999 yuan
4 – 1000-1999 yuan	5- 2000-4999 yuan	6 – 5000-9999yuan
7- 10000-19999yuan	8 – 20000-29999yuan	9 – over 30000 yuan

(b) What is the money income you earned last month (October) from your current main work? (g1b)

- | | | |
|------------------------|-------------------|-------------------|
| 1 – less than 299 yuan | 2- 300-499 yuan | 3 – 500-999 yuan |
| 4 –1000-1999 yuan | 5- 2000-4999 yuan | 6 – 5000-9999yuan |
| 7- over 10000 yuan | 8 –None | |

(c) If you have a second work activity, how important is it as a source of income?

(g1c)

- 1 – Very important
- 2 – Important to meet regular expenses
- 3 – Brings extra income for current expenditure
- 4 – Important for future expenditure
- 5 – Marginally important

(d) What was your money income last month (October) from your second main income earning activity? (g1d)

- | | | |
|-----------------------|--------------------|-------------------|
| 1 –less than 299 yuan | 2- 300-499 yuan | 3 – 500-999 yuan |
| 4 –1000-1999 yuan | 5- 2000-4999 yuan | 6 – 5000-9999yuan |
| 7- 10000-19999yuan | 8 –over 20000 yuan | |

6.2 (a) What was your household’s total money income last month (October)?

(g2a)

- | | | |
|-----------------------|--------------------|---------------------|
| 1 –less than 299 yuan | 2- 300-499 yuan | 3 – 500-999 yuan |
| 4 –1000-1999 yuan | 5- 2000-4999 yuan | 6 – 5000-9999yuan |
| 7- 10000-19999yuan | 8 –20000-29999yuan | 9 – over 30000 yuan |

(b) What share of your household’s monthly income, if any, depends on remittances from family members / relatives living elsewhere? (g2b)

- 1 – All (100%)
- 2 – Major part
- 3 – About half
- 4 – Minor part
- 5 – None

(c). Do you receive any financial support (money) for household expenses from any other source (other than household members)? (g2c)

- 1- Yes, regularly
- 2 – Yes, Occasionally
- 3 – No [if No, SKIP to 6.3]

(d) What is the main source? (g2d)

- 1 – Parents
- 2 – Children
- 3 – Other relatives
- 4 – Friends
- 5 – Government
- 6 – Trade Union

(e) Do you or your household provide financial assistance to other household/s?

(g2e)

- 1 – Yes, regularly
- 2 – Yes, occasionally
- 3 – No

6.3 (a) In the past 12 months has your household been able to accumulate savings?

(g3a)

- 1 – Yes
- 2 – No [If no, SKIP TO 6.4]

(b) If yes, were you able to set aside savings most months in the last 12 months?

(g3b)

1 – Yes 2 – No

6.4 (a) Over the past 12 months, has your monthly income been fairly regular, or very irregular? (g4a)

1 – Regular [If regular, SKIP TO 6.5]

2 – Fluctuating (sometimes regular and sometimes irregular)

3 – Very irregular

(b) If income is irregular, do you worry about it? (g4b)

1 – Yes, worry a lot

2 – Yes, worry a little

3 – Don't worry

6.5 (a) In the past three months, have you received all the wages you were entitled to? (g5a)

1 – Yes [If yes, SKIP TO Q6.6] 2 – No

(b) For how many of the past three months have you not received wages?

_____ months (g5b)

(c) What percent of your full wages have you received over the past three months during the months when you did receive wages? _____ % (g5c)

(d) What was the main reason for not receiving all your wages over past three months? (g5d)

1 – Firm unable to pay

2 – Put on administrative leave by firm

3 – Illness, injury

4 – Breakdown in establishment, weather, etc.

5 – Family illness, injury

6 – Other

6.6 (a) Do you expect your income in 12 months time to be higher, lower or about the same as now? (g6a)

1 – Higher

2 – Lower

3 – Same

4 – Don't know

6.7 to 6.9 is for FOR WAGE / SALARIED WORKERS ONLY

6.7 (a) Do you know of an official minimum wage set by the government in your locality? (g7a)

1 – Yes

2 – No [If no, SKIP TO Q6.8]

(b) If yes, do you think it is too high or too low or adequate? (g7b)

1 – Too high

2 – Too low

3 – Adequate

6.8 In the last 3 months, what percent of your monthly income from your main work was fixed and regular in comparison to your total monthly income?

_____ % (g8)

6.9 (a) Which if any of the following benefits are provided to you by your main employer? Use codes 1 – Yes 2 – No 3 – Don't know

		Codes
01	Paid holidays (g9a_01)	
02	Paid medical care (g9a_02)	
03	Paid medical leave (g9a_03)	
04	Paid maternity leave (g9a_04)	
05	Maternity benefits (g9a_05)	
06	Redundancy payments (g9a_06)	
07	Pension entitlements (g9a_07)	
08	Disability benefits (g9a_08)	
09	Free or subsidized food at work (g9a_09)	
10	Scholarship for children (g9a_10)	
11	Other (Specify): (g9a_11)	

(b) Does your employer pay for any healthcare for members of your family? (g9b)

1 -- Yes 2 – No

6.10 (a) In the last three years, did you have a (financial) crisis situation in this household, affecting income generation or the household's general well being? (g10a)

1 - Yes 2 – No [SKIP TO 6.11]

(b) What were the main, or most serious, crises? Rank if more than one. [READ OUT OR SHOW THE OPTIONS]

	Crisis affecting the household	Rank
01	Loss of job (not due to illness) (g10b_01)	
02	Loss of work due to illness (g10b_02)	
03	Medical costs (g10b_03)	
04	Business failure (g10b_04)	
05	Crop failure (g10b_05)	
06	Natural disaster (g10b_06)	
07	Increases in prices of basic commodities (g10b_07)	
08	Death of earning member (g10b_08)	
09	Birth costs (g10b_09)	
10	Marriage costs (g10b_10)	
11	Other (specify) (g10b_11)	

**6.11 If / when you are in financial difficulty, whom do you approach, mainly?
If more than one rank them. [READ OUT OR SHOW THE OPTIONS]**

	Help provider during crisis	Rank
01	Family/siblings/relatives (g11_01)	
02	Friends/ neighbors (g11_02)	
03	Employer (g11_03)	
04	Money lenders (g11_04)	
05	Government (g11_05)	
06	Bank/other financial institution (g11_06)	
07	Cooperatives (g11_07)	
08	Worker Union/ NGOs (g11_08)	
09	Labour contractors (g11_09)	
10	Charitable organisations (g11_10)	
11	Other source (g11_11)	
12	No approach (g11_12)	

6.12 (a) Presently, do you (or your household) have any debts? (g12a)

1 – Yes 2 – No [SKIP TO 6.13]

(b) Do you have difficulty or not in servicing your debt/s? (g12b)

1 – No difficulty 2 – Moderate difficulty 3 – Extreme difficulty

(c) When do you think you will be able to repay your debt entirely? (g12c)

1 – Within the next 6 months

2 – Within a year

3 – Within the next 2 years

4 – Within the next 10 years

5 – Within the next 20 years

6 – Within the next 30 years

7 – Not at all

6.13 IS FOR SELF-EMPLOYED OR FAMILY BUSINESS

6.13 Rank the main problems faced by your business over the last 12 months: If no problem at all note here _____ [READ OUT OR SHOW THE OPTIONS]

	Problems faced by business	Rank
01	Marketing difficulties / demand low or irregular (g13_01)	
02	Too many competitors (g13_02)	

03	Difficulties in obtaining raw materials (g13_03)	
04	Least profits (g13_04)	
05	Unfavorable official regulations (g13_05)	
06	Payments to officials/others (protection money) (g13_06)	
07	Wage costs are high (g13_07)	
08	Shortages of skilled labour (g13_08)	
09	Slow circulation of funds (g13_09)	
10	Loss of operation (g13_10)	
11	Profit is irregular / uncertain (g13_11)	
12	Too much costs fro renting (g13_12)	
13	Poor sales (g13_13)	
14	No problem (g13_14)	
15	Others (g13_15)	

6.14 What are the causes of your main worry or stress at present and in the future?

Use codes given below

	Cause of worry	Present worry	Future worry
01	Cost of children's education	(g14_01i)	(g14_01ii)
02	Cost of medical treatment and medicine	(g14_02i)	(g14_02ii)
03	Prices of basic commodities	(g14_03i)	(g14_03ii)
04	Secure pension	(g14_04i)	(g14_04ii)
05	Loss of job	(g14_05i)	(g14_05ii)
06	Housing	(g14_06i)	(g14_06ii)
07	Rise in debts	(g14_07i)	(g14_07ii)
08	No worry	(g14_08i)	(g14_08ii)
09	Any other (specify)	(g14_09i)	(g14_09ii)

Codes: 1 – Yes 2 - No

6.15 (a) In comparison to other people who live locally, do you regard yourself as well-off (affluent), average or low-income? (g15a)

1 – Well-off 2 – Average 3 – Low-income

(b) Has the general standard of living improved, remained the same or deteriorated in your locality? (g15b)

1 – Improved a lot 2 – Improved slightly 3 – Remained the same
4 – Deteriorated a little 5 – Deteriorated a lot 6 – Don't know

(c) Have income differences between the rich and the poor increased, remained the same or decreased in your locality? (g15c)

1 – Increased a lot 2 – Increased slightly 3 – Remained the same
4 – Decreased a little 5 – Decreased a lot 6 – Don't know

5 - Other

7.4 (a) Approximately, what percent of all workers in your city/area would you think belongs to a union?

_____ % (h4a_1)

2. - Do not know (h4a_2)

(b) Do you think this percent is higher, lower, or about same as compared to 5 years ago? (h4b)

1 - Higher now

2 - Lower now

3 - About same

4 - Do not know

(c) Does your employer provide you with reasonable opportunities to express grievances and raise personal concerns? (h4c)

1 - Yes

2 - No

7.5 (a) Do you know of any organisations besides trade unions that represent the interests of workers/ cultivators? (h5a)

1 - Yes

2 - No

(b) If YES, specify: _____ (h5b)

7.6 Circumstances sometimes occur at work when workers feel it is necessary to take action against an employer. What do you feel is the most appropriate action to take in the following circumstances:

(a) Wages not being paid for over three months _____ (h6a)

(b) Non-observance of labour safety codes _____ (h6b)

(c) Unfair dismissal of a fellow worker _____ (h6c)

(d) Violation of collective agreement _____ (h6d)

Codes:

1. Go to court

2. Go to a government agency

3. Go to union

4. Take part in meetings, demonstrations, etc.

5. Strike

6. Nothing

7. Other

(8) SOCIAL JUSTICE

8.1 In your opinion, should the following types of workers receive higher, lower, or about the same wages / income when both are doing similar work? Use codes given below.

(a) Women (compared to men) (i1a) 1 2 3

(b) Single (as compared to married with dependents) (i1b) 1 2 3

(c) Migrants (compared to local people) (i1c) 1 2 3

(d) Younger people (compared to older people) (i1d) 1 2 3

(b) If yes, what kind of violence? [ALLOW MULTIPLE RESPONSE]

- 1 – Hold up i.e personally threatened with a weapon (i6b_1)
- 2 – Robbery of personal property (i6b_2)
- 3 – Physical attack (i6b_3)
- 4 – Sexual attack (i6b_4)
- 5 – Attack by a mob (group of persons) (i6b_5)
- 6 – Police violence (i6b_6)
- 7 – Other (i6b_7)

8.7 Do you and your family feel secure (safe), so so or insecure (not safe):

(a) at home? (i7a)

1 – Secure 2 – So so 3 – Insecure

(b) in the street during the day? (i7b)

1 – Secure 2 – So so 3 – Insecure

(c) in the street during the night? (i7c)

1 – Secure 2 – So so 3 – Insecure

CONCLUDING PAGE (INTERVIEW SESSION NOTES)

FILL OUT THIS SECTION UPON THE COMPLETION OF THE QUESTIONNAIRE BOOK.

CP1. WHO ELSE (OTHER PERSONS) BESIDES RESPONDENT WAS PRESENT DURING THE INTERVIEW? IF MORE THAN ONE PERSON IN ANY CATEGORY BELOW, NOTE THE NUMBER

- A. NO ONE (j1a)
- B. CHILD 5 YEARS OLD OR UNDER _____ (j1b)
- C. CHILD OLDER THAN 5 YEARS OLD _____ (j1c)
- D. HUSBAND/ WIFE (j1d)
- E. ADULT, A HOUSEHOLD MEMBER _____ (j1e)
- F. ADULT, NOT A HOUSEHOLD MEMBER _____ (j1f)

CP2. WHAT IS YOUR EVALUATION OF THE ACCURACY OF RESPONDENT'S ANSWERS? (j2)

- 1. EXCELLENT
- 2. GOOD
- 3. FAIR
- 4. NOT SO GOOD
- 5. VERY BAD

CP3. WHAT IS YOUR EVALUATION ON THE SERIOUSNESS AND ATTENTIVENESS OF THE RESPONDENT? (j3)

- 1. EXCELLENT

- 2. GOOD
- 3. FAIR
- 4. NOT SO GOOD
- 5. VERY BAD

CP4. WHAT QUESTIONS DID *RESPONDENT* FIND DIFFICULT, EMBARRASSING, OR CONFUSING? (j4)

CP5. WHAT QUESTIONS DID *INTERVIEWER* FIND DIFFICULT, EMBARRASSING, OR CONFUSING? (j5)

CP6. WHAT QUESTIONS DID *RESPONDENT* SEEM INTERESTED IN? (j6)

NOTES:
